

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER  
WATE-0013TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (if known see 37 CFR 1.5)  
10/509,166INTERNATIONAL APPLICATION NO.  
PCT/AU03/00372INTERNATIONAL FILING DATE  
27 March 2003 (27.03.2003)PRIORITY DATE CLAIMED  
27 March 2002 (27.03.2002)

TITLE OF INVENTION MULTIPLE LASER SAFETY SYSTEM

APPLICANT(S) FOR DO/EO/US Robert Appleyard

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

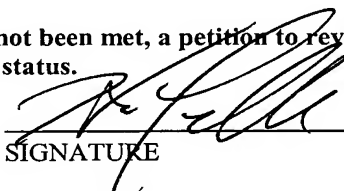
1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.182-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - a copy of the Notification of Missing Requirements dated April 19, 2005;
  - Petition for Extension of Time;
  - a return postcard.

EV482611563US

EXPRESS MAIL Mailing Label No. EV 482611563 US  
Date of Deposit: July 12, 2005

U.S. APPLICATION NO. (if known 37 C.F.R. 1.53) <b>10/509,166</b>		INTERNATIONAL APPLICATION NO. <b>PCT/AU03/00372</b>		ATTORNEY DOCKET NUMBER <b>WATE-0013</b>	
The following fees are submitted:					
21. <input type="checkbox"/> Basic national fee		<b>\$300.00</b>		\$	
22. <input type="checkbox"/> Examination fee				\$	
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		<b>\$100.00</b>		\$	
All other situations		<b>\$200.00</b>		\$	
23. <input type="checkbox"/> Search fee				\$	
Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority		<b>\$100.00</b>		\$	
International Search Report prepared and provided to the Office		<b>\$400.00</b>		\$	
All other situations		<b>\$500.00</b>		\$	
<b>TOTAL of 21, 22 and 23 =</b>				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is <b>\$250</b> for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50=		<b>X \$250.00</b>	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total claims	<b>57- 20 =</b>	<b>37</b>	<b>X \$50.00</b>	<b>\$1,850.00</b>	
Independent Claims	<b>4- 3 =</b>	<b>1</b>	<b>X \$200.00</b>	<b>\$ 200.00</b>	
Multiple dependent claims(s) (if applicable)			<b>+ \$360.00</b>	<b>\$ 360.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$2,410.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				<b>\$2,410.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
				<b>+</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$2,410.00</b>	
Petition for Extension of Time Fee				<b>+</b>	<b>120.00</b>
<b>TOTAL FEES ENCLOSED =</b>				<b>\$2,530.00</b>	
				<b>Amount to be:</b>	\$
				<b>refunded</b>	
				<b>charged</b>	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of <b>\$2,530.00</b> to cover the above fee is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 23-3050 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.</p>					
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>					
SEND ALL CORRESPONDENCE TO:					
<b>David A. Cherry</b> Woodcock Washburn LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100			<div style="text-align: right;">             SIGNATURE  <b>Harold H. Fullmer</b>            NAME            01 FC:1615 300.00 DA 10509166  <b>42,560</b>            REGISTRATION NUMBER         </div>		

07/15/2005 GFREY1 00000059 10509166

01 FC:1615	1050.00 OP
02 FC:1614	200.00 OP
03 FC:1616	360.00 OP
04 FC:1251	120.00 OP



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/509,166	Robert Appleyard	WATE-0013

23377  
WOODCOCK WASHBURN LLP  
ONE LIBERTY PLACE, 46TH FLOOR  
1650 MARKET STREET  
PHILADELPHIA, PA 19103

RECEIVED

APR 23 2005

Woodcock Washburn

INTERNATIONAL APPLICATION NO.

PCT/AU03/00372

I.A. FILING DATE

03/27/2003

PRIORITY DATE

03/27/2002

CONFIRMATION NO. 5096

371 FORMALITIES LETTER



\*OC000000015765814\*

Date Mailed: 04/19/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/27/2004
- Copy of the International Search Report filed on 09/27/2004
- Copy of IPE Report filed on 09/27/2004
- Oath or Declaration filed on 09/27/2004
- Request for Immediate Examination filed on 09/27/2004
- U.S. Basic National Fees filed on 09/27/2004
- Assignment filed on 09/27/2004
- Priority Documents filed on 09/27/2004
- Power of Attorney filed on 09/27/2004

RECEIVED

APR 25 2005

DOCKET DEPT  
WWKMN

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$2610** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$2610** for a Large Entity:

- Total additional claim fee(s) for this application is **\$ 2610**
  - \$200 for 1 independent claims over 3.
  - \$2050 for 41 total claims over 20.

- \$360 for multiple dependent claim surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/509,166	PCT/AU03/00372	WATE-0013

FORM PCT/DO/EO/923 (371 Formalities Notice)